

Form PTO-1449 (modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Attorney Docket No. 12553/103	Serial No. 10/658,985
	Applicant Ming Gao YAO et al.	
	Filing Date September 10, 2003	Group Art Unit 3726

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	5,193,080	March 9, 1993	Mohri et al.	369	244	July 3, 1990
	6,467,141 B2	October 22, 2002	Okada et al.	29	25.35	March 29, 2001

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	CN 1354458A Family: 2002/0060882 A1	June 19, 2002 May 23, 2002	China US	G11B 360	5/48 244.2	X	X
	CN 1275229T Family: 6,236,533 B1	November 29, 2000 May 22, 2001	China US	G11B 360	5/54 98.01	X	X
	CN 1085679A Family: 6,282,103	April 20, 1994 January 25, 1994	China US	G11B 360	5/48 104	X	X

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

**A copy is not enclosed since the present application was filed after June 30, 2003. (See OG Dated: August 5, 2003)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/658,985	
	Filing Date	September 10, 2003	
	First Named Inventor	Ming Gao YAO et al.	
	Art Unit	3726	
	Examiner Name	TBA	
Total Number of Pages in This Submission	9	Attorney Docket Number	12553/103

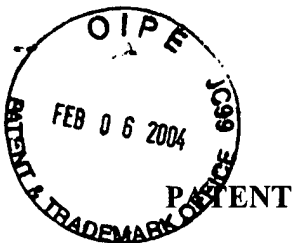
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. PTO-1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. International Search Report
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen T. Neal (Reg. No. 47,815)
Signature	<i>Stephen T. Neal</i>
Date	February 3, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Barbara Vance 48873v1
Signature	<i>Barbara Vance</i> Date February 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No.: 12553/103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Ming Gao YAO et al.
SERIAL NO. : 10/658,985
FILED : September 10, 2003
FOR : METHOD AND MECHANISM OF PZT MICRO-ACTUATOR
ATTACHMENT FOR THE HARD DISK DRIVER ARM
GROUP ART UNIT : 3726
EXAMINER : TBA

M/S: DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: M/S: DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
Date: February 3, 2004	Signature <u>Barbara Vance</u>
Barbara Vance	

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the five (5) reference(s) listed on the attached PTO-1449 (modified) form. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The information disclosure statement, along with the International Search Report, is being

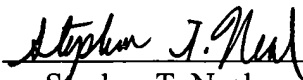
submitted under 37 C.F.R. §1.97(c)(1). I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

If any fee is required, please charge Kenyon & Kenyon, Deposit Account No. **11-0600**.

Respectfully submitted,

KENYON & KENYON

Dated: February 3, 2004

By: 
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